

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
03226/366001

In Re Application Of: **Germano CARONNI**

Serial No.

Filing Date

[Herewith]

Examiner

Group Art Unit

Title: **METHOD AND APPARATUS FOR HYBRID GROUP KEY MANAGEMENT**

Address to:

**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

32615

PATENT TRADEMARK OFFICE

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Payment of Fee

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45,925

Dated: 3/10/04


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03226/366001; SUN040143

Applicant(s)

Germano CARONNI

Filing Date

[Herewith]

Group Art Unit

[illegible][illegible]

A1	G. Caronni et al.; "Efficient Security for Large and Dynamic Multicast Groups"; Proceedings of the Seventh Workshop on Enabling Technologies; WET ICE '98; IEEE Computer Society Press; 1998 (8 pages)
A2	M. Waldvogel et al.; "The VersaKey Framework: Versatile Group Key Management"; IEEE Journal on Selected Areas in Communications, Vol. 17, No. 8; August 1999 (15 pages)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.